

41

FORM PTO-1023



\$2814

PATENT  
81788.0025

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi Mori

Serial No: 09/451,619

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY AND  
MANUFACTURING METHOD THEREOF

Art Unit: 2814

Examiner: WEISS, H.

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February 6, 2004

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Anthony J. Orler, Reg. No. 41,232

Name

Signature

February 6, 2004

Date

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-  
identified application.

☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE		ADD'L FEE DUE
TOTAL CLAIMS FEE	7	-	20**	0	LG=\$18 SM=\$9	\$	\$ 0
INDEPENDENT CLAIMS FEE	2	-	5***	0	LG=\$80 SM=\$40	\$	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$
TOTAL							\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. **A copy of this sheet is enclosed.**
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. **A copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
HOGAN & HARTSON LLP

By:

Anthony J. Orler

Registration No. 41,232

Attorney for Applicant(s)

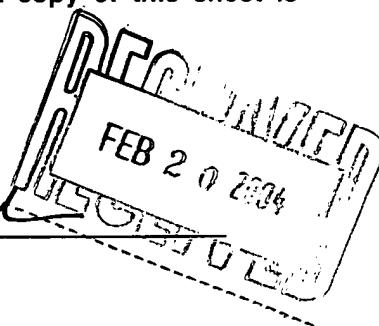
Date: February 6, 2004

Biltmore Tower

500 South Grand Avenue, Suite 1900

Telephone: 213 337-6700

Facsimile: 213 337-6701



Appl. No. 09/451,619  
Amdt. Dated February 6, 2004  
Reply to Office Action of August 6, 2003

Attorney Docket No. 81788.0025  
Customer No.: 26021



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi Mori

Serial No: 09/451,619

Confirmation No.: 9292

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR  
MEMORY AND MANUFACTURING  
METHOD THEREOF

Art Unit: 2814

Examiner: Weiss, Howard

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February 6, 2004

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Anthony J. C. Reg. No. 41,232

Name

Signature

February 6, 2004

Date

AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated August 6, 2003, the time for response to which is extended 3 month(s) by the accompanying petition from November 6, 2003 to February 6, 2004, please amend the above-referenced application as follows:

**Amendments** to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.